

TARIFF FILING FORMS (THERMAL)
FOR DETERMINATION OF TARIFF

Main Tariff Form

PART-I

Annexure-I

**Checklist of Main Tariff Forms and other information for tariff filing for
Thermal Stations**

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PART-I
List of Supporting Forms / documents for tariff filing for
Thermal Stations

| Form No. | Title of Tariff Filing Forms (Thermal) | Tick |
|-----------------|---|-------------|
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List of supporting documents for tariff filing for Thermal Stations

| S. No. | Information / Document | Tick |
|---------------|---|-------------|
| 1 | Certificate of incorporation, Certificate for Commencement of Business, Memorandum of Association, & Articles of Association (For New Station setup by a company making tariff application for the first time to CERC) | |
| 2 | A. Station wise and Corporate audited Balance Sheet and Profit & Loss Accounts with all the Schedules & annexures on COD of the Station for the new station & for the relevant years. B. Station wise and Corporate audited Balance Sheet and Profit & Loss Accounts with all the Schedules & annexures for the existing station for relevant years. | |
| 3 | Copies of relevant loan Agreements | |
| 4 | Copies of the approval of Competent Authority for the Capital Cost and Financial package. | |
| 5 | Copies of the Equity participation agreements and necessary approval for the foreign equity. | |
| 6 | Copies of the BPSA/PPA with the beneficiaries, if any | |
| 7 | Detailed note giving reasons of cost and time over run, if applicable. List of supporting documents to be submitted: a. Detailed Project Report b. CPM Analysis c. PERT Chart and Bar Chart d. Justification for cost and time Overrun | |
| 8 | Generating Company shall submit copy of Cost Audit Report along with cost accounting records, cost details, statements, schedules etc. for the Generating Unit wise /stage wise/Station wise/ and subsequently consolidated at Company level as submitted to the Govt. of India for first two years i.e. 2019-20 and 2020-21 at the time of mid-term true-up in 2021-22 and for balance period of tariff period 2019-24 at the time of final true-up in 2024-25. In case of initial tariff filing the latest available Cost Audit Report should be furnished. | |
| 9 | Any other relevant information, (Please specify) | |
| 10. | Reconciliation with Balance sheet of any actual additional capitalization and amongst stages of a generating station | |
| 11. | BBMB is maintaining the records as per the relevant applicable Acts. Formats specified herein may not be suitable to the available information with BBMB. BBMB may modify the formats suitably as per available information to them for submission of required information for tariff purpose. | |

Note 1: Electronic copy of the petition (in words format) and detailed calculation as per these formats (in excel format) and any other information submitted has to be uploaded in the e-filing website and shall also be furnished in pen drive/flash drive.

Summary of Tariff

Name of the Petitioner _____
 Name of the Generating Station: _____
 Place (Region/District/State): _____

| S. No. | Particulars | Unit | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|--|----------|---------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 1.1 | Depreciation | Rs Lakh | | | | | | |
| 1.2 | Interest on Loan | Rs Lakh | | | | | | |
| 1.3 | Return on Equity ¹ | Rs Lakh | | | | | | |
| 1.4 | Interest on Working Capital | Rs Lakh | | | | | | |
| 1.5 | O&M Expenses | Rs Lakh | | | | | | |
| 1.6 | Special Allowance (If applicable) | Rs Lakh | | | | | | |
| 1.7 | Compensation Allowance (If applicable - relevant for column 4 only) | Rs. Lakh | | | | | | |
| | Total | Rs Lakh | | | | | | |
| 2.1 | Landed Fuel Cost (coal/gas/RLNG/ liquid) as per FSA approved by beneficiaries | Rs/Ton | | | | | | |
| | (%) of Fuel Quantity | (%) | | | | | | |
| 2.2 | Landed Fuel Cost Imported Coal as per FSA approved by beneficiaries | | | | | | | |
| | (%) of Fuel Quantity | | | | | | | |
| 2.3 | Landed Fuel Cost (coal/gas /RLNG/liquid) other than FSA | Rs/Ton | | | | | | |
| | (%) of Fuel Quantity | (%) | | | | | | |
| 2.4 | Landed Fuel Cost Imported Coal other than FSA. | | | | | | | |
| | (%) of Fuel Quantity | | | | | | | |

| S. No. | Particulars | Unit | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|---|---------|---------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 2.5 | Secondary fuel oil cost | Rs/Unit | | | | | | |
| | Energy Charge Rate ex-bus (Paise/kWh) ^{2A,} _{2B, 2C, 2D} | Rs/Unit | | | | | | |

(Petitioner)

Note:

1. Details of calculations, considering equity as per regulation, to be furnished.
- 2A. If multi fuel is used simultaneously, give 2 in respect of every fuel individually.
- 2B. The rate of energy charge shall be computed for open cycle operation and combined cycle operation separately in case of gas/liquid fuel fired plants.
- 2C. The total energy charge shall be worked out based on ex-bus energy scheduled to be sent out.
- 2D. The Energy Charge rate for the month shall be based on fuel cost(s) and GCV(s) for the month as per Regulation 43.
- 2E. In case breakup is not available for 2.1 to 2.5, consolidated statement needs to be submitted.

Name of the Petitioner _____
Name of the Generating Station: _____

Statement showing claimed capital cost - (A+B)

| S. No. | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|---------------|--|----------------|----------------|----------------|----------------|----------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | Opening Capital Cost | | | | | |
| 2 | Add: Addition during the year/period | | | | | |
| 3 | Less: De-capitalisation during the year/period | | | | | |
| 4 | Less: Reversal during the year / period | | | | | |
| 5 | Add: Discharges during the year/ period | | | | | |
| 6 | Closing Capital Cost | | | | | |
| 7 | Average Capital Cost | | | | | |

Statement showing claimed capital cost eligible for RoE at normal rate (A)

| S. No. | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|---------------|--|----------------|----------------|----------------|----------------|----------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | Opening Capital Cost | | | | | |
| 2 | Add: Addition during the year / period | | | | | |
| 3 | Less: De-capitalisation during the year / period | | | | | |
| 4 | Less: Reversal during the year / period | | | | | |
| 5 | Add: Discharges during the year / period | | | | | |
| 6 | Closing Capital Cost | | | | | |
| 7 | Average Capital Cost | | | | | |

**Statement showing claimed capital cost eligible for RoE
at weighted average rate of interest on actual loan portfolio (B)**

| S. No. | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|---------------|--|----------------|----------------|----------------|----------------|----------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | Opening Capital Cost | | | | | |
| 2 | Add: Addition during the year / period | | | | | |
| 3 | Less: De-capitalisation during the year / period | | | | | |
| 4 | Less: Reversal during the year / period | | | | | |
| 5 | Add: Discharges during the year / period | | | | | |
| 6 | Closing Capital Cost | | | | | |
| 7 | Average Capital Cost | | | | | |

(Petitioner)

**PART 1
FORM-1(IIA)**

Name of the Petitioner _____
 Name of the Generating Station: _____

Statement showing Return on Equity at Normal Rate:

| Sr | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|----|--|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | Return on Equity | | | | | |
| 1 | Gross Opening Equity (Normal) | | | | | |
| 2 | Less: Adjustment in Opening Equity | | | | | |
| 3 | Adjustment during the year | | | | | |
| 4 | Net Opening Equity (Normal) | | | | | |
| 5 | Add: Increase in equity due to addition during the year / period | | | | | |
| 7 | Less: Decrease due to De-capitalisation during the year / period | | | | | |
| 8 | Less: Decrease due to reversal during the year / period | | | | | |
| 9 | Add: Increase due to discharges during the year / period | | | | | |
| 10 | Net closing Equity (Normal) | | | | | |
| 11 | Average Equity (Normal) | | | | | |
| 12 | Rate of ROE | | | | | |
| 12 | Total ROE | | | | | |

(Petitioner)

Name of the Petitioner _____
 Name of the Generating Station: _____

Statement showing Return on Equity at Normal Rate:

| Sr | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--|--|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Return on Equity (beyond the original scope of work excluding additional capitalization due to Change in Law) | | | | | | |
| 1 | Gross Opening Equity (Normal) | | | | | |
| 2 | Less: Adjustment in Opening Equity | | | | | |
| 3 | Adjustment during the year | | | | | |
| 4 | Net Opening Equity (Normal) | | | | | |
| 5 | Add: Increase in equity due to addition during the year / period | | | | | |
| 7 | Less: Decrease due to De-capitalisation during the year / period | | | | | |
| 8 | Less: Decrease due to reversal during the year / period | | | | | |
| 9 | Add: Increase due to discharges during the year / period | | | | | |
| 10 | Net closing Equity (Normal) | | | | | |
| 11 | Average Equity (Normal) | | | | | |
| 12 | Rate of ROE | | | | | |
| 12 | Total ROE | | | | | |

(Petitioner)

Plant Characteristics

Name of the Petitioner _____
Name of the Generating Station _____

| Unit(s)/Block(s)/Parameters | Unit-I | Unit-II | Unit-III | | | |
|--|--------|---------|----------|------|--|--|
| Installed Capacity (MW) | | | | | | |
| Schedule COD as per Investment Approval | | | | | | |
| Actual COD /Date of Taken Over (as applicable) | | | | | | |
| Pit Head or Non Pit Head | | | | | | |
| Name of the Boiler Manufacture | | | | | | |
| Name of Turbine Generator Manufacture | | | | | | |
| Main Steams Pressure at Turbine inlet (kg/Cm ²) abs ¹ . | | | | | | |
| Main Steam Temperature at Turbine inlet (°C) ¹ | | | | | | |
| Reheat Steam Pressure at Turbine inlet (kg/ Cm ²) ¹ | | | | | | |
| Reheat Steam Temperature at Turbine inlet (°C) ¹ | | | | | | |
| Main Steam flow at Turbine inlet under MCR condition (tons /hr) ² | | | | | | |
| Main Steam flow at Turbine inlet under VWO condition (tons /hr) ² | | | | | | |
| Unit Gross electrical output under MCR /Rated condition (MW) ² | | | | | | |
| Unit Gross electrical output under VWO condition (MW) ² | | | | | | |
| Guaranteed Design Gross Turbine Cycle Heat Rate (kCal/kWh) ³ | | | | | | |
| Conditions on which design turbine cycle heat rate guaranteed | | | | | | |
| % MCR | | | | | | |
| % Makeup Water Consumption | | | | | | |
| Design Capacity of Make up Water System | | | | | | |
| Design Capacity of Inlet Cooling System | | | | | | |
| Design Cooling Water Temperature (°C) | | | | | | |
| Back Pressure | | | | | | |
| Steam flow at super heater outlet under BMCR condition (tons/hr) | | | | | | |
| Steam Pressure at super heater outlet under BMCR condition) (kg/Cm ²) | | | | | | |
| Steam Temperature at super heater outlet under BMCR condition (°C) | | | | | | |

| Unit(s)/Block(s)/Parameters | Unit-I | Unit-II | Unit-III | | | |
|--|---------------|----------------|-----------------|-------------|--|--|
| Steam Temperature at Reheater outlet at BMCR condition (°C) | | | | | | |
| Design / Guaranteed Boiler Efficiency (%) ⁴ | | | | | | |
| Design Fuel with and without Blending of domestic/imported coal | | | | | | |
| | | | | | | |
| Type of Cooling Tower | | | | | | |
| Type of cooling system⁵ | | | | | | |
| Type of Boiler Feed Pump⁶ | | | | | | |
| Type of Coal Mill | | | | | | |
| Fuel Details⁷ | | | | | | |
| -Primary Fuel | | | | | | |
| -Secondary Fuel | | | | | | |
| -Alternate Fuels | | | | | | |
| Types of SOX control system | | | | | | |
| Types of NOX control system | | | | | | |
| Details of SPM control system | | | | | | |
| Special Features/Site Specific Features⁸ | | | | | | |
| Special Technological Features⁹ | | | | | | |
| Environmental Regulation related features¹⁰ | | | | | | |
| Any other special features | | | | | | |
| 1. At Turbine MCR condition. | | | | | | |
| 2. With 0% (Nil) make up and design Cooling water temperature | | | | | | |
| 3. At TMCR output based on gross generation, 0% (Nil) makeup and design Cooling water temperature. | | | | | | |
| 4. With Performance coal based on Higher Heating Value (HHV) of fuel and at BMCR) out put | | | | | | |
| 5. Closed circuit cooling, once through cooling, sea cooling, natural draft cooling, induced draft cooling etc. | | | | | | |
| 6. Motor driven, Steam turbine driven etc. | | | | | | |
| 7. Coal or natural gas or Naptha or lignite etc. | | | | | | |
| 8. Any site specific feature such as Merry-Go-Round, Vicinity to sea, Intake /makeup water systems etc. scrubbers etc. Specify all such features | | | | | | |
| 9. Any Special Technological feature like Advanced class FA technology in Gas Turbines, etc. | | | | | | |
| 10. Environmental Regulation related features like FGD, ESP etc., | | | | | | |
| Note 1: In case of deviation from specified conditions in Regulation, correction curve of manufacturer may also be submitted. | | | | | | |
| Note 2: Heat Balance Diagram has to be submitted along with above information in case of new stations. | | | | | | |
| Note 3: The Terms – MCR, BMCR, HHV, Performance coal, are as defined in CEA Technical Standards for Construction of Electric Plants and Electric Lines Regulations – 2010 notified by the Central Electricity Authority. | | | | | | |

Normative parameters considered for tariff computations

Name of the Petitioner _____

Name of the Generating Station _____

(Year Ending March)

| Particulars | Unit | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--|--------------|------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| Base Rate of Return on Equity | % | | | | | | |
| Base Rate of Return on Equity on Add. Capitalization | % | | | | | | |
| Effective Tax Rate ⁴ | % | | | | | | |
| Target Availability | % | | | | | | |
| In High Demand Season | % | | | | | | |
| Peak Hours | % | | | | | | |
| Off-Peak Hours | % | | | | | | |
| In Low Demand Season(Off-Peak) | % | | | | | | |
| Peak Hours | % | | | | | | |
| Off-Peak Hours | % | | | | | | |
| Auxiliary Energy Consumption | % | | | | | | |
| Gross Station Heat Rate | kCal/kWh | | | | | | |
| Specific Fuel Oil Consumption | ml/kWh | | | | | | |
| Cost of Coal/Lignite for WC ¹ | in Months | | | | | | |
| Cost of Main Secondary Fuel Oil for WC ¹ | in Months | | | | | | |
| Fuel Cost for WC ² | in Months | | | | | | |
| Liquid Fuel Stock for WC ² | in Months | | | | | | |
| O&M Expenses | Rs lakh / MW | | | | | | |
| Maintenance Spares for WC | % of O&M | | | | | | |
| Receivables for WC | in Months | | | | | | |
| Storage capacity of Primary fuel | MT | | | | | | |
| SBI 1 Year MCLR plus 350 basis point ³ | % | | | | | | |
| Blending ratio of domestic coal/imported coal | | | | | | | |

Note: 1). For Coal based/lignite based generating stations

2). For Gas Turbine/Combined Cycle generating stations duly taking into account the mode of operation on gas fuel and liquid fuel.

3. Mention relevant date. Effective tax rate is to be computed in accordance with Regulation 31 i.e. actual tax (or advance tax)/gross income, where gross income refers the profit before tax. .

(Petitioner)

Details of Foreign loans

(Details only in respect of loans applicable to the project under petition)

Name of the Petitioner _____
 Name of the Generating Station _____
 Exchange Rate at COD or 31.03.2019, whichever is later _____
 Exchange Rate as on 31.3.2019 _____

| S. No. | Financial Year (Starting from COD) | Year 1 | | | | Year 2 | | | | Year 3 and so on | | | |
|--------|---|--------|---------------------------|------------------------|-------------------|--------|---------------------------|------------------------|-------------------|------------------|---------------------------|------------------------|-------------------|
| | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) |
| | Currency1¹ | | | | | | | | | | | | |
| A.1 | At the date of Drawl or at the beginning to the year of the period ² | | | | | | | | | | | | |
| 2 | Scheduled repayment date of principal | | | | | | | | | | | | |
| 3 | Scheduled payment date of interest | | | | | | | | | | | | |
| 4 | At the end of Financial year | | | | | | | | | | | | |
| B | In case of Hedging ³ | | | | | | | | | | | | |
| 1 | At the date of hedging | | | | | | | | | | | | |
| 2 | Period of hedging | | | | | | | | | | | | |
| 3 | Cost of hedging | | | | | | | | | | | | |
| | Currency2¹ | | | | | | | | | | | | |
| A.1 | At the date of Drawl ² | | | | | | | | | | | | |
| 2 | Scheduled repayment date of principal | | | | | | | | | | | | |
| 3 | Scheduled payment date of interest | | | | | | | | | | | | |
| 4 | At the end of Financial year | | | | | | | | | | | | |

| S. No. | Financial Year (Starting from COD) | Year 1 | | | | Year 2 | | | | Year 3 and so on | | | |
|--------|---|--------|---------------------------|------------------------|-------------------|--------|---------------------------|------------------------|-------------------|------------------|---------------------------|------------------------|-------------------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| | | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) |
| B | In case of Hedging ³ | | | | | | | | | | | | |
| 1 | At the date of hedging | | | | | | | | | | | | |
| 2 | Period of hedging | | | | | | | | | | | | |
| 3 | Cost of hedging | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | Currency³ & so on | | | | | | | | | | | | |
| A.1 | At the date of Drawl ² | | | | | | | | | | | | |
| 2 | Scheduled repayment date of principal | | | | | | | | | | | | |
| 3 | Scheduled payment date of interest | | | | | | | | | | | | |
| 4 | At the end of Financial year | | | | | | | | | | | | |
| B | In case of Hedging ³ | | | | | | | | | | | | |
| 1 | At the date of hedging | | | | | | | | | | | | |
| 2 | Period of hedging | | | | | | | | | | | | |
| 3 | Cost of hedging | | | | | | | | | | | | |

1. Name of the currency to be mentioned e.g. US\$, DM, etc.

2. In case of more than one drawl during the year, Exchange rate at the date of each drawl to be given.

3. Furnish details of hedging, in case of more than one hedging during the year or part hedging, details of each hedging are to be given

4. Tax (such as withholding tax) details as applicable including change in rates, date from which change effective etc. must be clearly indicated.

(Petitioner)

Details of Foreign Equity

(Details only in respect of Equity infusion if any applicable to the project under petition)

Name of the Petitioner _____
Name of the Generating Station _____
Exchange Rate on date/s of infusion _____

| S. No | Financial Year | Year 1 | | | | Year 2 | | | | Year 3 and so on | | | |
|-------|---------------------------------------|--------|---------------------------|------------------------|-------------------|--------|---------------------------|------------------------|-------------------|------------------|---------------------------|------------------------|-------------------|
| | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| | | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) | Date | Amount (Foreign Currency) | Relevant Exchange Rate | Amount (Rs. Lakh) |
| | Currency1¹ | | | | | | | | | | | | |
| A.1 | At the date of infusion ² | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | |
| | Currency2¹ | | | | | | | | | | | | |
| A.1 | At the date of infusion ² | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | |
| | Currency3¹ | | | | | | | | | | | | |
| A.1 | At the date of infusion ² | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | |
| | Currency¹ and so on | | | | | | | | | | | | |
| A.1 | At the date of infusion ² | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | |

1. Name of the currency to be mentioned e.g. US\$, DM, etc.

2. In case of equity infusion more than once during the year, Exchange rate at the date of each infusion to be given. **(Petitioner)**

Abstract of Admitted Capital Cost for the existing Projects

Name of the Petitioner _____
Name of the Generating Station _____

| | | |
|---|-------------------|--|
| Last date of order of Commission for the project | Date (DD-MM-YYYY) | |
| Reference of petition no. in which the above order was passed | Petition no. | |
| Following details (whether admitted and /or considered) as on the last date of the period for which tariff is approved, in the above order by the Commission: | | |
| Capital cost | (Rs. in lakh)* | |
| Amount of un-discharged liabilities included in above (& forming part of admitted capital cost) | | |
| Amount of un-discharged liabilities corresponding to above admitted capital cost (but not forming part of admitted capital cost being allowed on cash basis) | | |
| Gross Normative Debt | | |
| Cumulative Repayment | | |
| Net Normative Debt | | |
| Normative Equity | | |
| Cumulative Depreciation | | |
| Freehold land | | |

(Petitioner)

Financial Package up to COD

Name of the Petitioner _____
 Name of the Generating Station _____
 Project Cost as on COD¹ _____
 Date of Commercial Operation of the Station² _____

| 1 | Financial Package as Approved | | Financial Package as on COD | | As Admitted on COD | |
|---------------------|----------------------------------|------|----------------------------------|---|----------------------------------|---|
| | Currency and Amount ³ | | Currency and Amount ³ | | Currency and Amount ³ | |
| | 2 | 3 | 4 | 5 | 6 | 7 |
| Loan-I | US \$ | 200m | | | | |
| Loan-II | | | | | | |
| Loan-III | | | | | | |
| and so on | | | | | | |
| Equity- | | | | | | |
| Foreign | | | | | | |
| Domestic | | | | | | |
| Total Equity | | | | | | |
| Debt : Equity Ratio | | | | | | |

Note:

1. Say Rs. 80 Cr. + US\$ 200 m or Rs. 1480 Cr. including US\$ 200 m at an exchange rate of US\$=Rs70
2. Provide details on commercial operation as on COD of each Unit
3. For example: US \$ 200m, etc.

(Petitioner)

Details of project specific loans

Name of the Petitioner

Name of the Generating Station

| Particulars | Package1 | Package2 | Package3 | Package4 | Package5 | Package6 |
|---|----------|----------|----------|----------|----------|----------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Source of Loan ¹ | | | | | | |
| Currency ² | | | | | | |
| Amount of Loan sanctioned | | | | | | |
| Amount of Gross Loan drawn upto 31.03.2019/COD ^{3,4,5,13,15} | | | | | | |
| Interest Type ⁶ | | | | | | |
| Fixed Interest Rate, if applicable | | | | | | |
| Base Rate, if Floating Interest ⁷ | | | | | | |
| Margin, if Floating Interest ⁸ | | | | | | |
| Are there any Caps/Floor ⁹ | Yes/No | Yes/No | Yes/No | Yes/No | Yes/No | Yes/No |
| If above is yes, specify caps/floor | | | | | | |
| Moratorium Period ¹⁰ | | | | | | |
| Moratorium effective from | | | | | | |
| Repayment Period ¹¹ | | | | | | |
| Repayment effective from | | | | | | |
| Repayment Frequency ¹² | | | | | | |
| Repayment Instalment ^{13,14} | | | | | | |
| Base Exchange Rate ¹⁶ | | | | | | |
| Are foreign currency loan hedged? | | | | | | |
| If above is yes, specify details ¹⁷ | | | | | | |

Note:

1. Source of loan means the agency from whom the loan has been taken such as WB, ADB, WMB, PNB, SBI, ICICI, IFC, PFC etc.
2. Currency refers to currency of loan such as US\$, DM, Yen, Indian Rupee etc.
3. Details are to be submitted as on 31.03.2019 for existing assets and as on COD for the remaining assets.
4. Where the loan has been refinanced, details in the Form is to be given for the loan refinanced. However, the details of the original loan is to be given separately in the same form.
5. If the Tariff in the petition is claimed separately for various units, details in the Form is to be given separately for all the units in the same form.
6. Interest type means whether the interest is fixed or floating.
7. Base rate means the base as PLR, MCLR, LIBOR etc. over which the margin is to be added. Applicable base rate on different dates from the date of drawl may also be enclosed.
8. Margin means the points over and above the floating rate.
9. At times caps/floor are put at which the floating rates are frozen. If such a condition exists, specify the limits.
10. Moratorium period refers to the period during which loan servicing liability is not required.
11. Repayment period means the repayment of loan such as 7 years, 10 years, 25 years etc.
12. Repayment frequency means the interval at which the debt servicing is to be done such as monthly, quarterly, half yearly, annual, etc.
13. Where there is more than one drawl/repayment for a loan, the date & amount of each drawl/repayment may also be given separately
14. If the repayment installment amount and repayment date cannot be worked out from the data furnished above, the repayment schedule to be furnished separately.
15. In case of Foreign loan, date of each drawl& repayment along with exchange rate at that date may be given.
16. Base exchange rate means the exchange rate prevailing as on 31.03.2019 or COD, whichever is later
17. In case of hedging, specify details like type of hedging, period of hedging, cost of hedging, etc.
18. In case of foreign loans, provide details of exchange rate considered on date of each repayment of principal and date of interest payment.
19. At the time of truing up rate of interest with relevant reset date (if any) to be furnished separately
20. At the time of truing up provide details of refinancing of loans considered earlier. Details such as date on which refinancing done, amount of refinanced loan, terms and conditions of refinanced loan, financing and other charges incurred for refinancing, etc.

(Petitioner)

Details of Allocation of corporate loans to various projects

Name of the Petitioner

Name of the Generating Station

| Particulars | Package1 | Package2 | Package3 | Package4 | Package5 | Remarks |
|--|---|----------|----------|----------|----------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Source of Loan ¹ | | | | | | |
| Currency ² | | | | | | |
| Amount of Loan sanctioned | | | | | | |
| Amount of Gross Loan drawn upto 31.03.2019/COD ^{3,4,5,13,15} | | | | | | |
| Interest Type ⁶ | | | | | | |
| Fixed Interest Rate, if applicable | | | | | | |
| Base Rate, if Floating Interest ⁷ | | | | | | |
| Margin, if Floating Interest ⁸ | | | | | | |
| Are there any Caps/Floor ⁹ | Yes/No | Yes/No | Yes/No | Yes/No | Yes/No | |
| If above is yes, specify caps/floor | | | | | | |
| Moratorium Period ¹⁰ | | | | | | |
| Moratorium effective from | | | | | | |
| Repayment Period ¹¹ | | | | | | |
| Repayment effective from | | | | | | |
| Repayment Frequency ¹² | | | | | | |
| Repayment Instalment ^{13,14} | | | | | | |
| Base Exchange Rate ¹⁶ | | | | | | |
| Are foreign currency loan hedged? | | | | | | |
| If above is yes, specify details ¹⁷ | | | | | | |
| | | | | | | |
| | Distribution of loan packages to various projects | | | | | |
| Name of the Projects | | | | | | Total |
| | | | | | | |

| Particulars | Package1 | Package2 | Package3 | Package4 | Package5 | Remarks |
|---------------------|----------|----------|----------|----------|----------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Project 1 | | | | | | |
| Project 2 | | | | | | |
| Project 3 and so on | | | | | | |

Note:

1. Source of loan means the agency from whom the loan has been taken such as WB, ADB, WMB, PNB, SBI, ICICI, IFC, PFC etc.
2. Currency refers to currency of loan such as US\$, DM, Yen, Indian Rupee etc.
3. Details are to be submitted as on 31.03.2019 for existing assets and as on COD for the remaining assets.
4. Where the loan has been refinanced, details in the Form is to be given for the loan refinanced. However, the details of the original loan is to be given separately in the same form.
5. If the Tariff in the petition is claimed separately for various units, details in the Form is to be given separately for all the units in the same form.
6. Interest type means whether the interest is fixed or floating.
7. Base rate means the base as PLR, MCLR, LIBOR etc. over which the margin is to be added. Applicable base rate on different dates from the date of drawl may also be enclosed.
8. Margin means the points over and above the floating rate.
9. At times caps/floor are put at which the floating rates are frozen. If such a condition exists, specify the limits.
10. Moratorium period refers to the period during which loan servicing liability is not required.
11. Repayment period means the repayment of loan such as 7 years, 10 years, 25 years etc.
12. Repayment frequency means the interval at which the debt servicing is to be done such as monthly, quarterly, half-yearly, annual, etc.
13. Where there is more than one drawl/repayment for a loan, the date & amount of each drawl/repayment may also be given separately
14. If the repayment installment amount and repayment date cannot be worked out from the data furnished above, the repayment schedule to be furnished separately.
15. In case of Foreign loan, date of each drawl & repayment along with exchange rate at that date may be given.
16. Base Exchange Rate means the exchange rate prevailing as on 31.03.2019 or COD, whichever is later
17. In case of hedging, specify details like type of hedging, period of hedging, cost of hedging, etc.
18. In case of foreign loans, provide details of exchange rate considered on date of each repayment of principal and date of interest payment.
19. At the time of truing up rate of interest with relevant reset date (if any) to be furnished separately
20. At the time of truing up provide details of refinancing of loans considered earlier. Details such as date on which refinancing done, amount of refinanced loan, terms and conditions of refinanced loan, financing and other charges incurred for refinancing etc.

(Petitioner)

Year wise Statement of Additional Capitalisation after COD

Name of the Petitioner _____
 Name of the Generating Station _____
 COD _____
 For Financial Year _____

| S. No. | Head of Work / Equipment | ACE Claimed (Actual / Projected) | | | | Regulations under which claimed | Justification | Admitted Cost by the Commission, if any |
|--------|--------------------------|----------------------------------|--|-------------|------------------------|---------------------------------|---------------|---|
| | | Accrual basis | Un-discharged Liability included in column 3 | Cash basis | IDC included in col. 3 | | | |
| 1 | 2 | 3 | 4 | (5 = 3 - 4) | 6 | 7 | 8 | 9 |
| | | | | | | | | |

1. In case the project has been completed and cost has already been admitted under any tariff notification(s) in the past, fill column 9 giving the cost as admitted for the purpose of tariff notification already issued by (Name of the authority) (Enclose copy of the Tariff Order).
2. The above information needs to be furnished separately for each year / period of tariff period 2019-24.
3. In case of de-capitalisation of assets separate details to be furnished at column 1, 2, 3 and 4. Further, the original book value and year of capitalisation of such asset to be furnished at column 8. Where de-caps are on estimated basis the same to be shown separately.
4. Where any asset is rendered unserviceable the same shall be treated as de-capitalised during that year and original value of such asset to be shown at col. 3. and impaired value if any, year of its capitalisation to be mentioned at column 8.
5. Justification against each asset of capitalization should be specific to regulations under which claim has been made and the necessity of capitalization of that particular asset.

Note:

1. Fill the form in chronological order year wise along with detailed justification clearly bringing out the necessity and the benefits accruing to the beneficiaries.
2. In case initial spares are purchased along with any equipment, then the cost of such spares should be indicated separately. e.g. Rotor - 50 Crs. Initial spares- 5 Crs.

(Petitioner)

Financing of Additional Capitalisation

Name of the Petitioner
 Name of the Generating Station
 Date of Commercial Operation

(Amount in Rs Lakh)

| Financial Year (Starting from COD) ¹ | Actual | | | | | Admitted | | | | |
|---|--------|--------|--------|--------|-------------------|----------|--------|--------|--------|----------------------|
| | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 & So on | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 & So on |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Amount capitalised in Work/ Equipment | | | | | | | | | | |
| Financing Details | | | | | | | | | | |
| Loan-1 | | | | | | | | | | |
| Loan-2 | | | | | | | | | | |
| Loan-3 and so on | | | | | | | | | | |
| Total Loan ² | | | | | | | | | | |
| Equity | | | | | | | | | | |
| Internal Resources | | | | | | | | | | |
| Others (Pl. specify) | | | | | | | | | | |
| Total | | | | | | | | | | |

- Note:
1. Year 1 refers to Financial Year of COD and Year 2, Year 3 etc. are the subsequent financial years respectively.
 2. Loan details for meeting the additional capitalisation requirement should be given as per FORM-7 or 8 whichever is relevant.

(Petitioner)

Calculation of Depreciation

Name of the Petitioner _____

Name of the Generating Station _____

(Amount in Rs Lakh)

| S. No. | Name of the Assets ¹ | Gross Block as on 31.03.2019 or as on COD, whichever is later and subsequently for each year thereafter upto 31.3.2024 | Depreciation Rates as per CERC's Depreciation Rate Schedule | Depreciation Amount for each year up to 31.03.2024 |
|--------|---------------------------------|--|---|--|
| 1 | 2 | 3 | 4 | 5 = Col.3 X Col.4 |
| 1 | Land* | | | |
| 2 | Building | | | |
| 3 | and so on | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 18 | | | | |
| 19 | | | | |
| 20 | | | | |
| 21 | | | | |
| 22 | | | | |
| 23 | | | | |
| 24 | | | | |
| 25 | | | | |
| 26 | | | | |
| 27 | | | | |
| 28 | | | | |
| 29 | | | | |
| 30 | | | | |
| | TOTAL | | | |
| | Weighted Average Rate of | | | |
| | Depreciation (%) | | | |

*Provide details of Freehold land and Lease hold land separately

Note:

1. Name of the Assets should conform to the description of the assets mentioned in Depreciation Schedule appended to the Notification.

(Petitioner)

Statement of Depreciation

Name of the Petitioner

Name of the Generating Station

(Amount in Rs Lakh)

| S. No. | Particulars | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|--|---------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1. | Opening Capital Cost | | | | | | |
| 2. | Closing Capital Cost | | | | | | |
| 3. | Average Capital Cost | | | | | | |
| 4. | Freehold land | | | | | | |
| 5. | Rate of depreciation | | | | | | |
| 6. | Depreciable value | | | | | | |
| 7. | Balance useful life at the beginning of the period | | | | | | |
| 8. | Remaining depreciable value | | | | | | |
| 9. | Depreciation (for the period) | | | | | | |
| 10. | Depreciation (annualised) | | | | | | |
| 11. | Cumulative depreciation at the end of the period | | | | | | |
| 12. | Less: Cumulative depreciation adjustment on account of un-discharged liabilities deducted as on 01.04.2009 | | | | | | |
| 13. | Less: Cumulative depreciation adjustment on account of de-capitalisation | | | | | | |
| 14. | Net Cumulative depreciation at the end of the period | | | | | | |

1. In case of details of FERV, give information for the applicable period.

(Petitioner)

Calculation of Weighted Average Rate of Interest on Actual Loans¹

Name of the Petitioner _____
Name of the Generating Station _____

(Amount in Rs. Lakh)

| Particulars | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|---|---------------------|---------|---------|---------|---------|---------|
| Loan-1 | | | | | | |
| Gross loan - Opening | | | | | | |
| Cumulative repayments of Loans upto previous year | | | | | | |
| Net loan - Opening | | | | | | |
| Add: Drawl(s) during the Year | | | | | | |
| Less: Repayment (s) of Loans during the year | | | | | | |
| Net loan - Closing | | | | | | |
| Average Net Loan | | | | | | |
| Rate of Interest on Loan on annual basis | | | | | | |
| Interest on loan | | | | | | |
| | | | | | | |
| Loan-2 | | | | | | |
| Gross loan - Opening | | | | | | |
| Cumulative repayments of Loans upto previous year | | | | | | |
| Net loan - Opening | | | | | | |
| Add: Drawl(s) during the Year | | | | | | |
| Less: Repayment (s) of Loans during the year | | | | | | |
| Net loan - Closing | | | | | | |
| Average Net Loan | | | | | | |
| Rate of Interest on Loan on annual basis | | | | | | |
| Interest on loan | | | | | | |
| | | | | | | |
| Loan-3 and so on | | | | | | |

| Particulars | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|---|---------------------|---------|---------|---------|---------|---------|
| Gross loan - Opening | | | | | | |
| Cumulative repayments of Loans upto previous year | | | | | | |
| Net loan - Opening | | | | | | |
| Add: Drawl(s) during the Year | | | | | | |
| Less: Repayment (s) of Loans during the year | | | | | | |
| Net loan - Closing | | | | | | |
| Average Net Loan | | | | | | |
| Rate of Interest on Loan on annual basis | | | | | | |
| Interest on loan | | | | | | |
| | | | | | | |
| Total Loan | | | | | | |
| Gross loan - Opening | | | | | | |
| Cumulative repayments of Loans upto previous year | | | | | | |
| Net loan - Opening | | | | | | |
| Add: Drawl(s) during the Year | | | | | | |
| Less: Repayment (s) of Loans during the year | | | | | | |
| Net loan - Closing | | | | | | |
| Average Net Loan | | | | | | |
| Interest on loan | | | | | | |
| Weighted average Rate of Interest on Loans | | | | | | |

Note:

1. In case of Foreign Loans, the calculations in Indian Rupees is to be furnished. However, the calculations in Original currency is also to be furnished separately in the same form.

(Petitioner)

Draw Down Schedule for Calculation of IDC & Financing Charges

Name of the Petitioner _____

Name of the Generating Station _____

| S. No. | Draw Down | Quarter 1 | | | Quarter 2 | | | Quarter n (COD) | | |
|--------|---------------------------------|-----------------------------|---------------------------------|----------------------------------|-----------------------------|---------------------------------|----------------------------------|-----------------------------|---------------------------------|----------------------------------|
| | Particulars | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) |
| 1 | Loans | | | | | | | | | |
| 1.1 | Foreign Loans | | | | | | | | | |
| 1.1.1 | Foreign Loan ¹ | | | | | | | | | |
| | Draw down Amount | | | | | | | | | |
| | IDC | | | | | | | | | |
| | Financing charges | | | | | | | | | |
| | Foreign Exchange Rate Variation | | | | | | | | | |
| | Hedging Cost | | | | | | | | | |
| 1.1.2 | Foreign Loan ² | | | | | | | | | |
| | Draw down Amount | | | | | | | | | |
| | IDC | | | | | | | | | |
| | Financing charges | | | | | | | | | |
| | Foreign Exchange Rate Variation | | | | | | | | | |

| S. No. | Draw Down | Quarter 1 | | | Quarter 2 | | | Quarter n (COD) | | |
|--------|----------------------------------|-----------------------------|---------------------------------|----------------------------------|-----------------------------|---------------------------------|----------------------------------|-----------------------------|---------------------------------|----------------------------------|
| | Particulars | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) |
| | Hedging Cost | | | | | | | | | |
| 1.1.3 | Foreign Loan ³ | | | | | | | | | |
| | Draw down Amount | | | | | | | | | |
| | IDC | | | | | | | | | |
| | Financing charges | | | | | | | | | |
| | Foreign Exchange Rate Variation | | | | | | | | | |
| | Hedging Cost | | | | | | | | | |
| 1.1.4 | -- | | | | | | | | | |
| | -- | | | | | | | | | |
| | -- | | | | | | | | | |
| 1.1 | Total Foreign Loans | | | | | | | | | |
| | Draw down Amount | | | | | | | | | |
| | IDC | | | | | | | | | |
| | Financing charges | | | | | | | | | |
| | Foreign Exchange Rate Variation | | | | | | | | | |
| | Hedging Cost | | | | | | | | | |
| 1.2 | Indian Loans | | | | | | | | | |

| S. No. | Draw Down Particulars | Quarter 1 | | | Quarter 2 | | | Quarter n (COD) | | |
|--------|---------------------------------|-----------------------------------|--|---|-----------------------------------|--|---|-----------------------------------|--|---|
| | | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) |
| 1.2.1 | Indian Loan ¹ | | | | | | | | | |
| | Draw down Amount | -- | -- | | -- | -- | | -- | -- | |
| | IDC | -- | -- | | -- | -- | | -- | -- | |
| | Financing charges | -- | -- | | -- | -- | | -- | -- | |
| 1.2.2 | Indian Loan ² | | | | | | | | | |
| | Draw down Amount | -- | -- | | -- | -- | | -- | -- | |
| | IDC | -- | -- | | -- | -- | | -- | -- | |
| | Financing charges | -- | -- | | -- | -- | | -- | -- | |
| 1.2.3 | Indian Loan ³ | | | | | | | | | |
| | Draw down Amount | -- | -- | | -- | -- | | -- | -- | |
| | IDC | -- | -- | | -- | -- | | -- | -- | |
| | Financing charges | -- | -- | | -- | -- | | -- | -- | |
| 1.2.4 | -- | -- | -- | | -- | -- | | -- | -- | |
| | -- | -- | -- | | -- | -- | | -- | -- | |
| | -- | -- | -- | | -- | -- | | -- | -- | |
| 1.2 | Total Indian Loans | | | | | | | | | |
| | Draw down Amount | -- | -- | | -- | -- | | -- | -- | |
| | IDC | -- | -- | | -- | -- | | -- | -- | |

| S. No. | Draw Down Particulars | Quarter 1 | | | Quarter 2 | | | Quarter n (COD) | | |
|--------|---------------------------------|-----------------------------------|--|---|-----------------------------------|--|---|-----------------------------------|--|---|
| | | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) | Quantum in Foreign currency | Exchange Rate on draw down date | Amount in Indian Rupee (Rs Lakh) |
| | Financing charges | -- | -- | | -- | -- | | -- | -- | |
| 1 | Total of Loans drawn | | | | | | | | | |
| | IDC | | | | | | | | | |
| | Financing charges | | | | | | | | | |
| | Foreign Exchange Rate Variation | | | | | | | | | |
| | Hedging Cost | | | | | | | | | |
| 2 | Equity | | | | | | | | | |
| 2.1 | Foreign equity drawn | | | | | | | | | |
| 2.2 | Indian equity drawn | -- | -- | | -- | -- | | -- | -- | |
| | Total equity deployed | | | | | | | | | |

Note:

1. Drawl of debt and equity shall be on pari-passu basis quarter wise to meet the commissioning schedule. Drawl of higher equity in the beginning is permissible
2. Applicable interest rates including reset dates used for above computation may be furnished separately
3. In case of multi unit project details of capitalization ratio used to be furnished.

(Petitioner)

**Details of Source wise Fuel for Computation of
Energy Charges¹**

Name of the Petitioner _____

Name of the Generating Station _____

| S. No. | Month | Unit | For preceding 3rd Month (from COD or from 1.4.2019 as the case may be) | | | For preceding 2nd Month (from COD or from 1.4.2019 as the case may be) | | For preceding 1st Month (from COD or from 1.4.2019 as the case may be) | |
|-----------|--|-------|--|---------------------------|----------|---|----------|---|----------|
| | | | Domestic Source (1) | Domestic Source (2) | Imported | Domestic | Imported | Domestic | Imported |
| A) | OPENING QUANTITY | | | | | | | | |
| 1 | Opening Quantity of Coal/Lignite | (MMT) | | | | | | | |
| 2 | Value of Stock | | | | | | | | |
| B) | QUANTITY | | | | | | | | |
| 3 | Quantity of Coal/Lignite supplied by Coal/Lignite Company | (MMT) | | | | | | | |
| 4 | Adjustment (+/-) in quantity supplied made by Coal/Lignite Company | (MMT) | | | | | | | |
| 5 | Coal supplied by Coal/Lignite Company (3+4) | (MMT) | | | | | | | |
| 6 | Normative Transit & Handling Losses (For | (MMT) | | | | | | | |

| S. No. | Month | Unit | For preceding | | | For preceding | | For preceding | |
|-----------|---|-------|---|--|--|--|--|--|--|
| | | | 3rd Month (from COD or from 1.4.2019 as the case may be) | | | 2nd Month (from COD or from 1.4.2019 as the case may be) | | 1st Month (from COD or from 1.4.2019 as the case may be) | |
| | coal/Lignite based Projects) | | | | | | | | |
| 7 | Net coal / Lignite Supplied (3-4) | (MMT) | | | | | | | |
| C) | PRICE | | | | | | | | |
| 8 | Amount charged by the Coal /Lignite Company | (Rs.) | | | | | | | |
| 9 | Adjustment (+/-) in amount charged made by Coal/Lignite Company | (Rs.) | | | | | | | |
| 10 | Handling, Sampling and such other similar charges | | | | | | | | |
| 11 | Total amount Charged (8+9+10) | (Rs.) | | | | | | | |
| D) | TRANSPORATION | | | | | | | | |
| 12 | Transportation charges by rail/ship/road transport | (Rs.) | | | | | | | |
| | By Rail | | | | | | | | |
| | By Road | | | | | | | | |
| | By Ship | | | | | | | | |
| | | | | | | | | | |
| 13 | Adjustment (+/-) in amount charged made by | (Rs.) | | | | | | | |

| S. No. | Month | Unit | For preceding | | | For preceding | | For preceding | |
|-----------|---|-----------|---|--|--|--|--|--|--|
| | | | 3rd Month (from COD or from 1.4.2019 as the case may be) | | | 2nd Month (from COD or from 1.4.2019 as the case may be) | | 1st Month (from COD or from 1.4.2019 as the case may be) | |
| | Railways/Transport Company | | | | | | | | |
| 14 | Demurrage Charges, if any | (Rs.) | | | | | | | |
| 15 | Cost of diesel in transporting coal through MGR system, if applicable | (Rs.) | | | | | | | |
| 16 | Total Transportation Charges (12+13+14+15) | (Rs.) | | | | | | | |
| 17 | Total amount Charged for coal/lignite supplied including Transportation (11+16) | (Rs.) | | | | | | | |
| E) | TOTAL COST | | | | | | | | |
| 18 | Landed cost of coal/ Lignite (2+17)/(1+7) | Rs./MT | | | | | | | |
| 19 | Blending Ratio (Domestic/Imported) | | | | | | | | |
| 20 | Weighted average cost of coal/ Lignite for preceding three months | Rs./MT | | | | | | | |
| F) | QUALITY | | | | | | | | |
| 21 | GCV of Domestic Coal of the opening coal stock as per bill of Coal Company | (kCal/Kg) | | | | | | | |
| 22 | GCV of Domestic Coal supplied as per bill of Coal Company | (kCal/Kg) | | | | | | | |

| S. No. | Month | Unit | For preceding 3rd Month (from COD or from 1.4.2019 as the case may be) | | | For preceding 2nd Month (from COD or from 1.4.2019 as the case may be) | | For preceding 1st Month (from COD or from 1.4.2019 as the case may be) | |
|--------|--|-----------|--|--|--|--|--|--|--|
| | | | | | | | | | |
| 23 | GCV of Imported Coal of the opening stock as per bill Coal Company | (kCal/Kg) | | | | | | | |
| 24 | GCV of Imported Coal supplied as per bill Coal Company | (kCal/Kg) | | | | | | | |
| 25 | Weighted average GCV of coal/ Lignite as Billed | (kCal/Kg) | | | | | | | |
| 26 | GCV of Domestic Coal of the opening stock as received at Station | (kCal/Kg) | | | | | | | |
| 27 | GCV of Domestic Coal supplied as received at Station | (kCal/Kg) | | | | | | | |
| 28 | GCV of Imported Coal of opening stock as received at Station | (kCal/Kg) | | | | | | | |
| 29 | GCV of Imported Coal of opening stock as received at Station | (kCal/Kg) | | | | | | | |
| 30 | Weighted average GCV of coal/ Lignite as Received | (kCal/Kg) | | | | | | | |

Note:

1. Similar details to be furnished for natural gas/liquid fuel for CCGT station and secondary fuel oil for coal/lignite based thermal plants with appropriate units.
2. As billed and as received GCV, quantity of coal, and price should be submitted as certified by statutory auditor.
3. Details to be provided for each source separately. In case of more than one source, add additional column.
4. Break up of the amount charged by the Coal Company is to be provided separately.

(Petitioner)

**Details of Limestone for
Computation of Energy Charge Rate**

Name of the Petitioner _____

Name of the Generating Station _____

| S. No. | Month | Unit | For preceding | For preceding | For preceding |
|--------|--|--------|--|--|--|
| | | | 3rd Month (from COD or from 1.4.2019 as the case may be) | 2nd Month (from COD or from 1.4.2019 as the case may be) | 1st Month (from COD or from 1.4.2019 as the case may be) |
| 1 | Quantity of Limestone supplied by Limestone supply Company | (MMT) | | | |
| 2 | Adjustment (+/-) in quantity supplied made by Limestone supply Company | (MMT) | | | |
| 3 | Limestone supplied by Limestone supply Company(1+2) | (MMT) | | | |
| 4 | Net Limestone Supplied (3-4) | (MMT) | | | |
| 5 | Amount charged by the Limestone supply Company | (Rs.) | | | |
| 6 | Adjustment (+/-) in amount charged made by Limestone supply Company | (Rs.) | | | |
| 7 | Total amount Charged (6+7) | (Rs.) | | | |
| 8 | Transportation charges by rail/ship/road transport | (Rs.) | | | |
| 9 | Adjustment (+/-) in amount charged made by Railways/Transport Company | (Rs.) | | | |
| 10 | Demurrage Charges, if any | (Rs.) | | | |
| 11 | Total Transportation Charges (8+/-9-10) | (Rs.) | | | |
| 12 | Total amount Charged for Limestone supplied including Transportation (7+11) | (Rs.) | | | |

(Petitioner)

Details of Capital Spares

Name of the Petitioner _____

Name of the Generating Station _____

| S. No. | Details of Capital Spares and Expenses | | Claimed as a part of additional Capitalisation | Funded through compensatory allowance | Funded through Special allowance (If Applicable) | Claimed as a part of stores and spares |
|--------|--|--------------------|--|---------------------------------------|--|--|
| | Name of spare | Amount in Rs. Lakh | | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |

(Petitioner)

Non-Tariff Income

Name of the Petitioner

Name of the Generating Station

| S. No. | Parameters | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|---------------------------------------|---------------------|---------|---------|---------|---------|---------|
| 1. | Income from rent of land or buildings | | | | | | |
| 2. | Income from sale of scrap | | | | | | |
| 3. | Income from advertisements | | | | | | |

Note: To be submitted at the time of truing up**(Petitioner)**

Details of Water Charges

Name of the Petitioner _____
Name of the Generating Station _____

| S. No. | Details of Water charges (excluding water cess) | | Quantity allocated | Normative consumption at 85% PLF | Rate specified (as per govt. notification or agreement) | Spillage of water (in percentage) | Amount Claimed |
|--------|---|--------|--------------------|----------------------------------|---|-----------------------------------|----------------|
| | Name of source and quantity | Amount | Unit.... | Unit.... | | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |

(Petitioner)

Details of Statutory Charges

Name of the Petitioner

Name of the Generating Station

| Particulars | Unit Rate | No of Units | Amount Claimed |
|--------------------|------------------|--------------------|-----------------------|
| Electricity Duty | | | |
| Water Cess | | | |
| ... | | | |
| ... | | | |
| ... | | | |

(Petitioner)

Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Projects

Name of the Petitioner _____
Name of the Generating Station _____

New Projects

Capital Cost Estimates

| | | |
|---|--|---------------------------------------|
| Board of Director/ Agency approving the Capital cost estimates: | | |
| Date of approval of the Capital cost estimates: | | |
| | Present Day Cost | Completed Cost |
| Price level of approved estimates | As on End of _____ Qtr. Of the year _____ | As on Scheduled COD of the Station |
| Foreign Exchange rate considered for the Capital cost estimates | | |
| | | |
| Capital Cost excluding IDC, IEDC & FC (Rs. Lakh) | | |
| Foreign Component, if any (In Million US \$ or the relevant Currency) | | |
| Domestic Component (Rs. Lakh) | | |
| | | |
| Capital cost excluding IDC, IEDC, FC, FERV & Hedging Cost (Rs. Lakh) | | |
| | | |
| IDC, IEDC,FC, FERV & Hedging Cost | | |
| Foreign Component, if any (In Million US \$ or the relevant Currency) | | |
| Domestic Component (Rs. Lakh) | | |
| | | |
| Total IDC, IEDC, FC, FERV & Hedging Cost (Rs. Lakh) | | |
| Rate of taxes & duties considered | | |
| | | |

Capital cost Including IDC, IEDC, FC, FERV & Hedging Cost

| | |
|---|--|
| Foreign Component, if any (In Million US \$ or the relevant Currency) | |
| Domestic Component (Rs. Lakh) | |
| | |
| Capital cost Including IDC, IEDC& FC (Rs. Lakh) | |
| | |
| Schedule of Commissioning | |
| Scheduled COD of Unit-I/Block-I as per Investment Approval | |
| Scheduled COD of Unit-II/Block-II as per Investment Approval | |
| ----- | |
| ----- | |
| Scheduled COD of last Unit/Block | |

Note:

1. Copy of Investment approval letter should be enclosed.
2. Details of Capital Cost are to be furnished as per FORM B or C as applicable.
3. Details of IDC & Financing Charges are to be furnished as per FORM-14.

(Petitioner)

Break-up of Capital Cost for New Coal/Lignite based projects

Name of the Petitioner _____
Name of the Generating Station _____

(Amount in Rs. Lakh)

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure as on COD/ anticipated COD | Liabilities/ Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation | Estimated Capital expenditure upto Cut-off dat |
|--------|--|--|---|-------------------------|-----------------------|--------------------------------|--|
| | | | Actual Amount | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Cost of Land & Site Development | | | | | | |
| 1.1 | Land* | | | | | | |
| 1.2 | Rehabilitation & Resettlement (R&R) | | | | | | |
| 1.3 | Preliminary Investigation & Site Development | | | | | | |
| | Total Land & Site Development | | | | | | |
| 2 | Plant & Equipment | | | | | | |
| 2.1 | Steam Generator Island | | | | | | |
| 2.2 | Turbine Generator Island | | | | | | |
| 2.3 | BOP Mechanical | | | | | | |
| 2.3.1 | External water supply system | | | | | | |
| 2.3.2 | CW system | | | | | | |
| 2.3.3 | DM water Plant | | | | | | |
| 2.3.4 | Clarification plant | | | | | | |
| 2.3.5 | Chlorination Plant | | | | | | |
| 2.3.6 | Fuel Handling & Storage system | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure as on COD/ anticipated COD | Liabilities/ Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation | Estimated Capital expenditure upto Cut-off dat |
|------------|--|--|---|-------------------------|-----------------------|--------------------------------|--|
| | | | Actual Amount | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 2.3.7 | Ash Handling System | | | | | | |
| 2.3.8 | Coal Handling Plant | | | | | | |
| 2.3.9 | Rolling Stock and Locomotives | | | | | | |
| 2.3.10 | MGR | | | | | | |
| 2.3.11 | Air Compressor System | | | | | | |
| 2.3.12 | Air Condition & Ventilation System | | | | | | |
| 2.3.13 | Fire fighting System | | | | | | |
| 2.3.14 | HP/LP Piping | | | | | | |
| 2.3.15 | FGD system, if any | | | | | | |
| 2.3.16 | De-salination plant for sea-water intake | | | | | | |
| 2.3.17 | External coal handling in Jetty, if any | | | | | | |
| | Total BOP Mechanical | | | | | | |
| 2.4 | BOP Electrical | | | | | | |
| 2.4.1 | Switch Yard Package | | | | | | |
| 2.4.2 | Transformers Package | | | | | | |
| 2.4.3 | Switch gear Package | | | | | | |
| 2.4.4 | Cables, Cable facilities & grounding | | | | | | |
| 2.4.5 | Lighting | | | | | | |
| 2.4.6 | Emergency D.G. set | | | | | | |
| | Total BOP Electrical | | | | | | |
| 2.5 | Control & Instrumentation (C & I) Package | | | | | | |
| | Total Plant & Equipment | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure as on COD/ anticipated COD | Liabilities/ Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation | Estimated Capital expenditure upto Cut-off dat |
|--------|--|--|---|-------------------------|-----------------------|--------------------------------|--|
| | | | Actual Amount | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| | excluding taxes & Duties | | | | | | |
| 2.6 | Taxes & Duties | | | | | | |
| 3 | Initial Spares | | | | | | |
| 4 | Civil Works | | | | | | |
| 4.1 | Main plant/ Adm. Building | | | | | | |
| 4.2 | CW system | | | | | | |
| 4.3 | Cooling Towers | | | | | | |
| 4.4 | DM water Plant | | | | | | |
| 4.5 | Clarification plant | | | | | | |
| 4.6 | Chlorination plant | | | | | | |
| 4.7 | Fuel handling & Storage system | | | | | | |
| 4.8 | Coal Handling Plant | | | | | | |
| 4.9 | MGR & Marshalling Yard | | | | | | |
| 4.10 | Ash Handling System | | | | | | |
| 4.11 | Ash disposal area development | | | | | | |
| 4.12 | Fire fighting System | | | | | | |
| 4.13 | Township & Colony | | | | | | |
| 4.14 | Temp. construction & enabling works | | | | | | |
| 4.15 | Road & Drainage | | | | | | |
| | Total Civil works | | | | | | |
| 5 | Construction & Pre-Commissioning Expenses | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure as on COD/ anticipated COD | Liabilities/ Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation | Estimated Capital expenditure upto Cut-off dat |
|----------|--|--|---|-------------------------|-----------------------|--------------------------------|--|
| | | | Actual Amount | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 5.1 | Erection Testing and commissioning | | | | | | |
| 5.2 | Site supervision | | | | | | |
| 5.3 | Operator's Training | | | | | | |
| 5.4 | Construction Insurance | | | | | | |
| 5.5 | Tools & Plant | | | | | | |
| 5.6 | Startup fuel | | | | | | |
| | Total Construction & Pre-Commissioning Expenses | | | | | | |
| | | | | | | | |
| 6 | Overheads | | | | | | |
| 6.1 | Establishment | | | | | | |
| 6.2 | Design & Engineering | | | | | | |
| 6.3 | Audit & Accounts | | | | | | |
| 6.4 | Contingency | | | | | | |
| | Total Overheads | | | | | | |
| | | | | | | | |
| 7 | Total Capital cost excluding IDC & FC | | | | | | |
| | | | | | | | |
| 8 | IDC, FC, FERV & Hedging Cost | | | | | | |
| 8.1 | Interest During Construction (IDC) | | | | | | |
| 8.2 | Financing Charges (FC) | | | | | | |
| 8.3 | Foreign Exchange Rate Variation (FERV) | | | | | | |
| 8.4 | Hedging Coat | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure as on COD/ anticipated COD | Liabilities/ Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation | Estimated Capital expenditure upto Cut-off dat |
|----------|--|--|---|-------------------------|-----------------------|--------------------------------|--|
| | | | Actual Amount | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| | Total of IDC, FC,FERV & Hedging Cost | | | | | | |
| | | | | | | | |
| 9 | Capital cost including IDC, FC, FERV & Hedging Cost | | | | | | |

**Provide details of Freehold land and Lease hold land separately*

Note:

1. In case of cost variation, a detailed note giving reasons of such variation should be submitted clearly indicating whether such cost over-run was beyond the control of the generating company.
2. In case of both time & cost overrun, a detailed note giving reasons of such time and cost over-run should be submitted clearly bringing out the agency responsible and whether such time and cost overrun was beyond the control of the generating company.
3. The implication on cost due to time over run, if any shall be submitted separately giving details of increase in prices in different packages from scheduled COD to Actual COD/anticipated COD, increase in IEDC from scheduled COD to actual COD/anticipated COD and increase of IDC from scheduled COD to actual anticipated COD.
4. **Impact on account of each reason for Time over run on Cost of project should be quantified and substantiated with necessary documents and supporting workings.**
5. A list of balance work assets/work wise including initial spare on original scope of works along with estimate shall be furnished positively.

(Petitioner)

Break-up of Capital Cost for Gas/Liquid fuel based projects

Name of the Petitioner _____
Name of the Generating Station _____

(Amount in Rs. Lakh)

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure | Liabilities/Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation* | Actual/Estimated Capital Expenditure upto Cut-off date |
|------------|--|--|----------------------------|------------------------|-----------------------|---------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Cost of Land & Site Development | | | | | | |
| 1.1 | Land* | | | | | | |
| 1.2 | Rehabilitation & Resettlement (R&R) | | | | | | |
| 1.3 | Preliminary Investigation & Site Development | | | | | | |
| | Total Land & Site Development | | | | | | |
| 2 | Plant & Equipment | | | | | | |
| 2.1 | Steam Generator Island | | | | | | |
| 2.2 | Turbine Generator Island | | | | | | |
| 2.3 | WHRB Island | | | | | | |
| 2.4 | BOP Mechanical | | | | | | |
| 2.4.1 | Fuel Handling & Storage system | | | | | | |
| 2.4.2 | External water supply system | | | | | | |
| 2.4.3 | CW system | | | | | | |
| 2.4.4 | Cooling Towers | | | | | | |
| 2.4.5 | DM water Plant | | | | | | |
| 2.4.6 | Clarification plant | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure | Liabilities/Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation* | Actual/Estimated Capital Expenditure upto Cut-off date |
|------------|---|--|----------------------------|------------------------|-----------------------|---------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 2.4.7 | Chlorination Plant | | | | | | |
| 2.4.8 | Air Condition & Ventilation System | | | | | | |
| 2.4.9 | Fire fighting System | | | | | | |
| 2.4.10 | HP/LP Piping | | | | | | |
| | Total BOP Mechanical | | | | | | |
| | | | | | | | |
| 2.5 | BOP Electrical | | | | | | |
| 2.5.1 | Switch Yard Package | | | | | | |
| 2.5.2 | Transformers Package | | | | | | |
| 2.5.3 | Switch gear Package | | | | | | |
| 2.5.4 | Cables, Cable facilities & grounding | | | | | | |
| 2.5.5 | Lighting | | | | | | |
| 2.5.6 | Emergency D.G. set | | | | | | |
| | Total BOP Electrical | | | | | | |
| | | | | | | | |
| 2.6 | Control & Instrumentation (C & I) Package | | | | | | |
| | Total Plant & Equipment excluding taxes & Duties | | | | | | |
| 2.7 | Taxes & Duties | | | | | | |
| | | | | | | | |
| 3 | Initial Spares | | | | | | |
| | | | | | | | |
| 4 | Civil Works | | | | | | |
| 4.1 | Main plant/Adm. Building | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure | Liabilities/Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation* | Actual/Estimated Capital Expenditure upto Cut-off date |
|----------|---|--|----------------------------|------------------------|-----------------------|---------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 4.2 | External Water Supply System | | | | | | |
| 4.3 | CW system | | | | | | |
| 4.4 | Cooling Towers | | | | | | |
| 4.5 | DM water Plant | | | | | | |
| 4.6 | Clarification plant | | | | | | |
| 4.7 | Fuel handling & Storage system | | | | | | |
| 4.8 | Township & Colony | | | | | | |
| 4.9 | Temp. construction & enabling works | | | | | | |
| 4.10 | Road & Drainage | | | | | | |
| 4.11 | Fire fighting System | | | | | | |
| | Total Civil works | | | | | | |
| | | | | | | | |
| 5 | Construction & Pre- Commissioning Expenses | | | | | | |
| 5.1 | Erection Testing and commissioning | | | | | | |
| 5.2 | Site supervision | | | | | | |
| 5.3 | Operator's Training | | | | | | |
| 5.4 | Construction Insurance | | | | | | |
| 5.5 | Tools & Plant | | | | | | |
| 5.6 | Startup fuel | | | | | | |
| | Total Construction & Pre- Commissioning Expenses | | | | | | |
| | | | | | | | |
| 6 | Overheads | | | | | | |
| 6.1 | Establishment | | | | | | |

| S. No. | Break Down | As per Original Estimates as per Investment Approval | Actual Capital Expenditure | Liabilities/Provisions | Variation (3 - 4 - 5) | Specific Reasons for Variation* | Actual/Estimated Capital Expenditure upto Cut-off date |
|----------|--|--|----------------------------|------------------------|-----------------------|---------------------------------|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 6.2 | Design & Engineering | | | | | | |
| 6.3 | Audit & Accounts | | | | | | |
| 6.4 | Contingency | | | | | | |
| | Total Overheads | | | | | | |
| | | | | | | | |
| 7 | Capital cost excluding IDC & FC | | | | | | |
| | | | | | | | |
| 8 | IDC, FC, FERV & Hedging Cost | | | | | | |
| 8.1 | Interest During Construction (IDC) | | | | | | |
| 8.2 | Financing Charges (FC) | | | | | | |
| 8.3 | Foreign Exchange Rate Variation (FERV) | | | | | | |
| 8.4 | Hedging Cost | | | | | | |
| | Total of IDC, FC, FERV & Hedging Cost | | | | | | |
| | | | | | | | |
| 9 | Capital cost including IDC, FC, FERV & Hedging Cost | | | | | | |

**Provide details of Freehold land and Lease hold land separately*

Note:

1. In case of cost variation, a detailed note giving reasons of such variation should be submitted clearly indicating whether such cost over-run was beyond the control of the generating company.
2. In case of time & cost overrun, a detailed note giving reasons of such time and cost over-run should be submitted clearly bringing out the agency responsible and whether such time and cost overrun was beyond the control of the generating company.

3. The implication on cost due to time over run, if any shall be submitted separately giving details of increase in prices in different packages from scheduled COD to Actual COD/anticipated COD, increase in IEDC from scheduled COD to actual COD/anticipated COD and increase of IDC from scheduled COD to actual anticipated COD.
4. Impact on account of each reason for Time over run on Cost of project should be quantified and substantiated with necessary documents and supporting workings. A list of balance work assets/work wise including initial spare on original scope of works along with estimate shall be furnished positively

(Petitioner)

Break-up of Construction/Supply/Service packages

Name of the Petitioner _____
 Name of the Generating Station _____

(Amount in Rs. Lakh)

| S. No. | Name/No. of Construction / Supply / Service Package | Package A | Package B | Package C | ... | Total Cost of all packages |
|--------|---|-----------|-----------|-----------|-----|----------------------------|
| 1 | Scope of works ¹ (in line with head of cost break-ups as applicable) | | | | | |
| 2 | Whether awarded through ICB/DCB/ Departmentally/ Deposit Work | | | | | |
| 3 | No. of bids received | | | | | |
| 4 | Date of Award | | | | | |
| 5 | Date of Start of work | | | | | |
| 6 | Date of Completion of Work/ Expected date of completion of work | | | | | |
| 7 | Value of Award ² in (Rs. Lakh) | | | | | |
| 8 | Firm or With Escalation in prices | | | | | |
| 9 | Actual capital expenditure till the completion or up to COD whichever is earlier(Rs.Lakh) | | | | | |
| 10 | Taxes & Duties and IEDC (Rs. Lakh) | | | | | |
| 11 | IDC, FC, FERV & Hedging cost (Rs. Lakh) | | | | | |
| 12 | Sub -total (9+10+11) (Rs. Lakh) | | | | | |

Note:

1. The scope of work in any package should be indicated in conformity of Capital cost break-up for the coal/lignite based plants in the FORM-B to the extent possible. In case of Gas/Liquid fuel based projects, break down in the similar manner in the relevant heads as per FORM-C.
2. If there is any package, which need to be shown in Indian Rupee and foreign currency(ies), the same should be shown separately along with the currency, the exchange rate and the date e.g. Rs.80 Cr. +US\$50m=Rs.430Cr. at US\$=Rs70 as on say 1.4.19.

(Petitioner)

Details of variables, parameters, optional package etc. for New Project

Name of the Petitioner _____

Name of the Generating Station _____

| Unit Size | | |
|--|--|--|
| Number of Units | | |
| Greenfield/Extension | | |
| S. No. | Variables | (Design Operating Range) Values |
| 1 | Coal Quality – Calorific Value | |
| 2 | Ash Content | |
| 3 | Moisture Content | |
| 4 | Boiler Efficiency | |
| 5 | Suspended Particulate Matter | |
| 6 | Ash Utilization | |
| 7 | Boiler Configuration | |
| 8 | Turbine Heat Rate | |
| 9 | CW Temperature | |
| 10 | Water Source | |
| 11 | Distance of Water Source | |
| 12 | Clarifier | |
| 13 | Mode of Unloading Oil | |
| 14 | Coal handling Mechanism | |
| 15 | Type of Fly Ash Disposal and Distance | |
| 16 | Type of Bottom Ash Disposal and Distance | |
| 17 | Type of Soil | |
| 18 | Foundation Type (Chimney) | |
| 19 | Water Table | |
| 20 | Seismic and Wind Zone | |
| 21 | Condensate Cooling Method | |
| 22 | Desalination/RO Plant | |
| 23 | Evacuation Voltage Level | |
| 24 | Type of Coal (Domestic/Imported) | |
| Parameter/Variables | | Values |
| Completion Schedule | | |
| Terms of Payment | | |
| Performance Guarantee Liability | | |
| Basis of Price (Firm/Escalation-Linked) | | |
| Equipment Supplier (Country of Origin) | | |
| Optional Packages | | Yes/No |
| Desalination Plant/RO Plant | | |
| MGR | | |
| Railway Siding | | |
| Unloading Equipment at Jetty | | |
| Rolling Stock/Locomotive | | |
| FGD Plant | | |
| Length of Transmission Line till Tie Point (in km) | | |

(Petitioner)

Detail of cost over run

Name of the Petitioner _____

Name of the Generating Station _____

| S. No. | Break Down | Original Cost (Rs. Lakh) as approved by the Board of Members | Actual/ Estimated Cost as incurred/to be incurred (Rs. Lakh) | Difference | Reasons for Variation (Please submit supporting computations and documents wherever applicable) | Increase in soft cost due to increase in hard cost |
|----------|---|--|--|------------|--|---|
| | | Total Cost | Total Cost | Total Cost | | |
| 1 | Cost of Land & Site Development | | | | | |
| 1.1 | Land* | | | | | |
| 1.2 | Rehabilitation & Resettlement (R&R) | | | | | |
| 1.3 | Preliminary Investigation & Site Development | | | | | |
| | | | | | | |
| 2 | Plant & Equipment | | | | | |
| 2.1 | Steam Generator Island | | | | | |
| 2.2 | Turbine Generator Island | | | | | |
| 2.3 | BOP Mechanical | | | | | |
| 2.3.1 | Fuel Handling & Storage system | | | | | |
| 2.3.2 | External water supply system | | | | | |
| 2.3.3 | DM water Plant | | | | | |
| 2.3.4 | Clarification plant | | | | | |
| 2.3.5 | Chlorination Plant | | | | | |
| 2.3.6 | Fuel Handling & Storage system | | | | | |
| 2.3.7 | Ash Handling System | | | | | |
| 2.3.8 | Coal Handling Plant | | | | | |
| 2.3.9 | Rolling Stock and Locomotives | | | | | |

| S. No. | Break Down | Original Cost (Rs. Lakh) as approved by the Board of Members | Actual/ Estimated Cost as incurred/to be incurred (Rs. Lakh) | Difference | Reasons for Variation (Please submit supporting computations and documents wherever applicable) | Increase in soft cost due to increase in hard cost |
|------------|---|--|--|------------|--|---|
| | | Total Cost | Total Cost | Total Cost | | |
| 2.3.10 | MGR | | | | | |
| 2.3.11 | Air Compressor System | | | | | |
| 2.3.12 | Air Condition & Ventilation System | | | | | |
| 2.3.13 | Fire fighting System | | | | | |
| 2.3.14 | HP/LP Piping | | | | | |
| | Total BOP Mechanical | | | | | |
| | | | | | | |
| 2.4 | BOP Electrical | | | | | |
| 2.4.1 | Switch Yard Package | | | | | |
| 2.4.2 | Transformers Package | | | | | |
| 2.4.3 | Switch gear Package | | | | | |
| 2.4.4 | Cables, Cable facilities & grounding | | | | | |
| 2.4.5 | Lighting | | | | | |
| 2.4.6 | Emergency D.G. set | | | | | |
| | Total BOP Electrical | | | | | |
| 2.5 | Control & Instrumentation (C & I) Package | | | | | |
| | Total Plant & Equipment excluding taxes & Duties | | | | | |
| 3 | Initial Spares | | | | | |
| 4 | Civil Works | | | | | |
| 4.1 | Main plant/Adm. Building | | | | | |
| 4.2 | CW system | | | | | |
| 4.3 | Cooling Towers | | | | | |
| 4.4 | DM water Plant | | | | | |

| S. No. | Break Down | Original Cost (Rs. Lakh) as approved by the Board of Members | Actual/ Estimated Cost as incurred/to be incurred (Rs. Lakh) | Difference | Reasons for Variation (Please submit supporting computations and documents wherever applicable) | Increase in soft cost due to increase in hard cost |
|----------|---|--|--|------------|--|---|
| | | Total Cost | Total Cost | Total Cost | | |
| 4.5 | Clarification plant | | | | | |
| 4.6 | Chlorination plant | | | | | |
| 4.7 | Fuel handling & Storage system | | | | | |
| 4.8 | Coal Handling Plant | | | | | |
| 4.9 | MGR & Marshalling Yard | | | | | |
| 4.10 | Ash Handling System | | | | | |
| 4.11 | Ash disposal area development | | | | | |
| 4.12 | Fire fighting System | | | | | |
| 4.13 | Township & Colony | | | | | |
| 4.14 | Temp. construction & enabling works | | | | | |
| 4.15 | Road & Drainage | | | | | |
| | Total Civil works | | | | | |
| | | | | | | |
| 5 | Construction & Pre- Commissioning Expenses | | | | | |
| 5.1 | Erection Testing and commissioning | | | | | |
| 5.2 | Site supervision | | | | | |
| 5.3 | Operator's Training | | | | | |
| 5.4 | Construction Insurance | | | | | |
| 5.5 | Tools & Plant | | | | | |
| 5.6 | Startup fuel | | | | | |
| | Total Construction & Pre- Commissioning Expenses | | | | | |
| 6 | Overheads | | | | | |
| 6.1 | Establishment | | | | | |

| S. No. | Break Down | Original Cost (Rs. Lakh) as approved by the Board of Members | Actual/ Estimated Cost as incurred/to be incurred (Rs. Lakh) | Difference | Reasons for Variation (Please submit supporting computations and documents wherever applicable) | Increase in soft cost due to increase in hard cost |
|----------|--|--|--|------------|--|---|
| | | Total Cost | Total Cost | Total Cost | | |
| 6.2 | Design & Engineering | | | | | |
| 6.3 | Audit & Accounts | | | | | |
| 6.4 | Contingency | | | | | |
| | Total Overheads | | | | | |
| 7 | Capital cost excluding IDC & FC | | | | | |
| 8 | IDC, FC, FERV & Hedging Cost | | | | | |
| 8.1 | Interest During Construction (IDC) | | | | | |
| 8.2 | Financing Charges (FC) | | | | | |
| 8.3 | Foreign Exchange Rate Variation (FERV) | | | | | |
| 8.4 | Hedging Coat | | | | | |
| | Total of IDC, FC, FERV & Hedging Cost | | | | | |
| 9 | Capital cost including IDC, FC, FERV & Hedging Cost | | | | | |

**Submit details of Freehold and Lease hold land*

Note: Impact on account of each reason for Cost overrun should be quantified and substantiated with necessary documents and supporting workings.

(Petitioner)

Detail of time over run

Name of the Petitioner _____

Name of the Generating Station _____

| S. No | Description of Activity / Works / Service | Original Schedule (As per Planning) | | Actual Schedule (As per Actual) | | Time Over-Run Days | Reasons for delay | Other Activity affected (Mention S. No. of activity affected) |
|-------|---|-------------------------------------|-----------------|---------------------------------|------------------------|--------------------|-------------------|---|
| | | Start Date | Completion Date | Actual Start Date | Actual Completion Date | | | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| | | | | | | | | |

1. Delay on account of each reason in case of time overrun should be quantified and substantiated with necessary documents and supporting workings.
2. Indicate the activities on critical path.

(Petitioner)

Statement of Additional Capitalisation during five year before the end of useful life of the Project

Name of the Petitioner _____
 Name of the Generating Station _____
 COD _____

(Amount in Rs. Lakh)

| S. No. | Year | Work/ Equipment added during last five years of useful life of each Unit/Station | ACE Claimed (Actual / Projected) | | | | Regulations under which claimed | Justification | Impact on life extension |
|--------|------|---|----------------------------------|---|-------------|------------------------------|--|---------------|--------------------------------|
| | | | Accrual basis | Un- discharged Liability included in col. 4 | Cash basis | IDC included in col. 4 | | | |
| 1 | 2 | 3 | 4 | 5 | (6 = 4 - 5) | 7 | 8 | 9 | 10 |
| | | | | | | | | | |
| | | | | | | | | | |

Note:

1. Cost Benefit analysis for capital additions done should be submitted along with petition for approval of such schemes
2. Justification for additional capital expenditure claim for each asset should be relevant to regulations under which claim has been made and the necessity of capitalization of the asset.

(Petitioner)

Details of Assets De-capitalized during the period

Name of the Petitioner _____
 Name of the Generating Station _____
 Region State District

(Amount in Rs. Lakh)

| S. No. | Name of the Asset | Nature of de-capitalization (whether claimed under exclusion or as additional capital expenditure) | Original Value of the Asset Capitalised | Year Put to use | Depreciation recovered till date of de-capitalization | Whether earning RoE at the normal rate of weightage average rate of interest on loan |
|--------|-------------------|--|---|-----------------|---|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| | | | | | | |
| | | | | | | |

Note: Year wise detail need to be submitted.

(Petitioner)

Reconciliation of capitalisation claimed vis-à-vis books

Name of the Petitioner _____

Name of the Generating Station _____

COD _____

(Amount in Rs. Lakh)

| S. No. | Particulars | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|--|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 1 | Closing Gross Block as per IND AS | | | | | |
| 2 | Add/Less: Adjustments* | | | | | |
| 3 | Closing Gross Block as per IGAAP | | | | | |
| 4 | Opening Gross Block as per IND AS | | | | | |
| 5 | Add/Less: Adjustments* | | | | | |
| 6 | Opening Gross Block as per IGAAP | | | | | |
| 7 | Total Additions as per books (G = 3 - 5) | | | | | |
| 8 | Less: Additions pertaining to other Stages (give Stage wise breakup) | | | | | |
| 9 | Net Additions pertaining to instant project/Unit/Stage | | | | | |
| 10 | Less: Exclusions (items not allowable / not claimed) | | | | | |
| 11 | Net Additional Capital Expenditure Claimed (on accrual basis) | | | | | |
| 12 | Less: Un-discharged Liabilities (as per IGAAP) | | | | | |
| 13 | Add: Discharges of un-discharged liabilities, corresponding to admitted assets/works (as per IGAAP) | | | | | |
| 14 | Net Additional Capital Expenditure Claimed (on cash basis) | | | | | |

Note: (1) Form is to be certified by the Auditor and Certificate issued as per the guidelines prescribed by their governing body.

(2) Reason for exclusion of any expenditure shall be given in Clear terms. *Break-up to be specified.

(Petitioner)

Statement showing items/assets/works claimed under Exclusions:

Name of the Petitioner _____
 Name of the Generating Station _____
 COD _____

(Amount in Rs. Lakh)

| S. No. | Head of Work / Equipment | ACE Claimed under Exclusion | | | | Justification |
|--------|--------------------------|-----------------------------|--|-------------|------------------------|---------------|
| | | Accrual basis | Un-discharged Liability included in col. 3 | Cash basis | IDC included in col. 3 | |
| 1 | 2 | 3 | 4 | (5 = 3 - 4) | 6 | 7 |
| | | | | | | |
| | | | | | | |

Note: 1. Exclusions claimed on assets not allowed in Tariff should be supported by the specific reference of Commission Order date, Petition No., amount disallowed, etc.

2. For inter unit transfer, nature of transfer i.e. temporary or permanent should be mentioned. It is to be certified that exclusion sought in receiving station only and not in sending station or in both the station.

(Petitioner)

Name of the Petitioner _____

Name of the Generating Station _____

Statement of Capital cost

(To be given for relevant dates and year wise)

(Amount in Rs. Lakh)

| S. No. | Particulars | As on relevant date | | |
|--------|---|---------------------|---------------------------|------------|
| | | Accrual Basis | Un-discharged Liabilities | Cash Basis |
| A | a) Opening Gross Block Amount as per books | | | |
| | b) Amount of IDC in A(a) above | | | |
| | c) Amount of FC in A(a) above | | | |
| | d) Amount of FERV in A(a) above | | | |
| | e) Amount of Hedging Cost in A(a) above | | | |
| | f) Amount of IEDC in A(a) above | | | |
| B | a) Addition in Gross Block Amount during the period (Direct purchases) | | | |
| | b) Amount of IDC in B(a) above | | | |
| | c) Amount of FC in B(a) above | | | |
| | d) Amount of FERV in B(a) above | | | |
| | e) Amount of Hedging Cost in B(a) above | | | |
| | f) Amount of IEDC in B(a) above | | | |
| C | a) Addition in Gross Block Amount during the period (Transferred from CWIP) | | | |

| S. No. | Particulars | As on relevant date | | |
|--------|---|---------------------|---------------------------|------------|
| | | Accrual Basis | Un-discharged Liabilities | Cash Basis |
| | b) Amount of IDC in C(a) above | | | |
| | c) Amount of FC in C(a) above | | | |
| | d) Amount of FERV in C(a) above | | | |
| | e) Amount of Hedging Cost in C(a) above | | | |
| | f) Amount of IEDC in C(a) above | | | |
| | | | | |
| | | | | |
| D | a) Deletion in Gross Block Amount during the period | | | |
| | b) Amount of IDC in D(a) above | | | |
| | c) Amount of FC in D(a) above | | | |
| | d) Amount of FERV in D(a) above | | | |
| | e) Amount of Hedging Cost in D(a) above | | | |
| | f) Amount of IEDC in D(a) above | | | |
| | | | | |
| | | | | |
| E | a) Closing Gross Block Amount as per books | | | |
| | b) Amount of IDC in E(a) above | | | |
| | c) Amount of FC in E(a) above | | | |
| | d) Amount of FERV in E(a) above | | | |
| | e) Amount of Hedging Cost in E(a) above | | | |
| | f) Amount of IEDC in E(a) above | | | |
| | | | | |

Note:

1. Relevant date/s means date of COD of unit/s/station and financial year start date and end date

(Petitioner)

Name of the Petitioner _____
Name of the Generating Station _____

Statement of Capital Woks in Progress
 (To be given for relevant dates and year wise)

(Amount in Rs. Lakh)

| S. No. | Particulars | As on relevant date | | |
|--------|--|---------------------|---------------------------|------------|
| | | Accrual Basis | Un-discharged Liabilities | Cash Basis |
| A | a) Opening CWIP as per books | | | |
| | b) Amount of IDC in A(a) above | | | |
| | c) Amount of FC in A(a) above | | | |
| | d) Amount of FERV in A(a) above | | | |
| | e) Amount of Hedging Cost in A(a) above | | | |
| | f) Amount of IEDC in A(a) above | | | |
| | | | | |
| | | | | |
| B | a) Addition in CWIP during the period | | | |
| | b) Amount of IDC in B(a) above | | | |
| | c) Amount of FC in B(a) above | | | |
| | d) Amount of FERV in B(a) above | | | |
| | e) Amount of Hedging Cost in B(a) above | | | |
| | f) Amount of IEDC in B(a) above | | | |
| | | | | |
| | | | | |
| C | a) Transferred to Gross Block Amount during the period | | | |
| | b) Amount of IDC in C(a) above | | | |
| | c) Amount of FC in C(a) above | | | |

| S. No. | Particulars | As on relevant date | | |
|--------|---|---------------------|---------------------------|------------|
| | | Accrual Basis | Un-discharged Liabilities | Cash Basis |
| | d) Amount of FERV in C(a) above | | | |
| | e) Amount of Hedging Cost in C(a) above | | | |
| | f) Amount of IEDC in C(a) above | | | |
| | | | | |
| | | | | |
| D | a) Deletion in CWIP during the period | | | |
| | b) Amount of IDC in D(a) above | | | |
| | c) Amount of FC in D(a) above | | | |
| | d) Amount of FERV in D(a) above | | | |
| | e) Amount of Hedging Cost in D(a) above | | | |
| | f) Amount of IEDC in D(a) above | | | |
| | | | | |
| | | | | |
| E | a) Closing CWIP as per books | | | |
| | b) Amount of IDC in E(a) above | | | |
| | c) Amount of FC in E(a) above | | | |
| | d) Amount of FERV in E(a) above | | | |
| | e) Amount of Hedging Cost in E(a) above | | | |
| | f) Amount of IEDC in E(a) above | | | |
| | | | | |

Note:

1. Relevant date/s means date of COD of unit/s/station and financial year start date and end date

(Petitioner)

Calculation of Interest on Normative Loan

Name of the Petitioner

Name of the Generating Station

(Amount in Rs Lakh)

| S. No. | Particulars | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|-----------|--|---------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Gross Normative loan - Opening | | | | | | |
| 2 | Cumulative repayment of Normative loan up to previous year | | | | | | |
| 3 | Net Normative loan - Opening | | | | | | |
| 4 | Add: Increase due to addition during the year / period | | | | | | |
| 5 | Less: Decrease due to de-capitalisation during the year / period | | | | | | |
| 6 | Less: Decrease due to reversal during the year / period | | | | | | |
| 7 | Add: Increase due to discharges during the year / period | | | | | | |
| 8 | Net Normative loan - Closing | | | | | | |
| 9 | Average Normative loan | | | | | | |
| 10 | Weighted average rate of interest | | | | | | |
| 11 | Interest on Loan | | | | | | |

(Petitioner)

Calculation of Interest on Working Capital

Name of the Petitioner _____
 Name of the Generating Station _____

(Amount in Rs Lakh)

| S. No. | Particulars | Existing 2018-19 | 2019-20 | 2020-21 | 2021-22 | 2022-23 | 2023-24 |
|--------|--|------------------|---------|---------|---------|---------|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Cost of Coal/Lignite ¹ | | | | | | |
| 2 | Cost of Main Secondary Fuel Oil ¹ | | | | | | |
| 3 | Fuel Cost ² | | | | | | |
| 4 | Liquid Fuel Stock ² | | | | | | |
| 5 | O & M Expenses | | | | | | |
| 6 | Maintenance Spares | | | | | | |
| 7 | Receivables | | | | | | |
| 8 | Total Working Capital | | | | | | |
| 9 | Rate of Interest | | | | | | |
| 10 | Interest on Working Capital | | | | | | |

Note:

1. For Coal based/Lignite based generating stations
2. For Gas Turbine/Combined Cycle generating stations duly taking into account the annual mode of operation (last available) on gas fuel and liquid fuel

(Petitioner)

Incidental Expenditure up to SCOD and up to Actual/anticipated COD

Name of the Petitioner _____
Name of the Generating Station _____

(Amount in Rs. Lakh)

| S. No. | Parameters | As on Scheduled COD | As on actual COD/anticipated COD |
|--------|--|---------------------|----------------------------------|
| A | Head of Expenses: | | |
| 1 | Employees' Benefits Expenses | | |
| 2 | Finance Costs | | |
| 3 | Water Charges | | |
| 4 | Communication Expenses | | |
| 5 | Power Charges | | |
| 6 | Depreciation | | |
| 7 | Other Office and Administrative Expenses | | |
| 8 | Others (Please Specify Details) | | |
| 9 | Other Pre-Operating Expenses | | |
| ... | | | |
| ... | | | |
| B | Total Expenses | | |
| | Less: Income from sale of tenders | | |
| | Less: Income from guest house | | |
| | Less: Income recovered from Contractors | | |
| | Less: Interest on Deposits | | |
| | | | |

(Petitioner)

Expenditure under different packages up to SCOD and up to Actual/anticipated COD

Name of the Petitioner _____

Name of the Generating Station _____

(Amount in Rs. Lakh)

| S. No. | Parameters | As on Scheduled COD | As on actual/anticipated COD |
|--------|------------|---------------------|------------------------------|
| 1 | Package 1 | | |
| 2 | Package 2 | | |
| 3 | Package 3 | | |
| 4 | ----- | | |
| 5 | ----- | | |
| 6 | | | |

(Petitioner)

Actual cash expenditure

Name of the Petitioner _____

Name of the Generating Station _____

(Amount in Rs. Lakh)

| Particulars | Quarter-I | Quarter-II | Quarter-III | Quarter-n/ DOCO |
|--|------------------|-------------------|--------------------|----------------------------|
| Expenditure towards Gross Block | | | | |
| Add: Expenditure towards CWIP | | | | |
| Add: Capital Advances, if any | | | | |
| Less: Un-discharged liabilities (included above) | | | | |
| Add/Less: Others | | | | |
| Payment to contractors / suppliers towards capital assets | | | | |
| Cumulative payments | | | | |

Note: If there is variation between payment and fund deployment justification need to be furnished

(Petitioner)

Statement of Liability Flow

Name of the Petitioner _____
Name of the Generating Station _____

| Party | Asset/ Work | Year of actual capitalisati on | Original Liability | Liability as on 31.03.2019 | Discharges (Year wise) | Reversal (Year wise) |
|--|----------------|---|-----------------------|----------------------------------|---------------------------|-------------------------|
| a) For assets eligible for normal RoE | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| b) For assets eligible for RoE at weightage average rate of interest on loan | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

(Petitioner)

Summary of issue involved in the petition

| | | |
|----|----------------------------|--|
| 1. | Petitioner: | |
| 2. | Subject | |
| 3. | Prayer: | |
| 4. | Respondents | |
| | Name of Respondents | |
| | a. | |
| | b. | |
| | c. | |
| 5. | Project Scope | |
| | Cost | |
| | Commissioning | |
| | Claim | |
| | AFC | |
| | Capital cost | |
| | Initial spare | |
| | NAPAF (Gen) | |
| | Any Specific | |
| | | |